

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

OCT 0 8 2002

In Re the Application of:

George E. Seidel, Lisa Herickhoff, John Schenk

TECH CENTER 1600/2900

For:

Multiple Sexed Embryo Production System for Mammals Using Low

Numbers of Spermatozoa

Serial Number:

10/081,955

Filed:

February 20, 2002

Assignees:

XY, Inc. and Colorado State University through its agent Colorado

State University Research Foundation

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)(3) and MPEP § 609(B1)

As a means of complying with the duty of disclosure set forth in 37 C.F.R. §1.56, §1.97, and §1.98, the information listed and attached may be material to the examination of the referenced application. Pursuant to 37 C.F.R. §1.97(b)(3) and §609(B1) of the MPEP, no fee is required as this information is submitted prior to a first office action on the merits.

Pursuant to 37 C.F.R. §1.97(g), this Supplemental Information Disclosure Statement should not be construed as a representation that a search has been made. A copy of each item is enclosed with a listing similar to PTO Form 1449 for the examiner's convenience.

Dated this <u>3</u> day of October, 2002

Respectfully submitted,

SANTANGELO LAW OFFICES, P.C.

By:

Craig Miles

ATTORNEY FOR APPLICANT

\PTO No. 45,954

125 South Howes, Third Floor Fort Collins, Colorado 80521

(303) 224-3100

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Express Mail No. EV 110549452 US

IN THE UNITE	ED STATES TRADEMARK OFFICE	ENT & THA	DEMARK &	DOCKET NO. XY-SuperC	-Cont2	Serial No 10/0	081,955	TECH	
INFORMATION DISCLOSURE CITATION				APPLICANT (S) George E. Seidel, Lisa Herickhoff, John Schenk				CENTE	
SUPPLEMENTAL			FILING DATE 02/20/2000		ART UNIT - 1655		R 160		
I. U.S. PATENT DOCUMENTS							0/2900	7	
EXAMINER	DOCUMENT NO	DATE	NAME		CLASS	SUBCLASS	FILING	DATE	

EXAMINER INITIAL	DOCUMENT NO	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	5,919,621	07/06/1999	Brown	435	6	04/29/1996
	5,780,230	07/14/1998	Li, et al.	435	6	10/06/1995
	6,149,867	11/21/2000	Seidel, et al.	422	73	12/31/1997

II.

FOREIGN PATENT DOCUMENTS

EXAMINER	DOCUMENT NO	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL						Yes	No
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III. OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



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CERTIFICATE OF EXPRESS CLASS MAILING

I, Michael Blackmore, hereby certify to the truth of the following items:

- 1. I am an employee of Santangelo Law Offices, P.C., 125 South Howes, Third Floor, Fort Collins, Colorado 80521.
- 2. I have this day deposited the attached Supplemental Information Disclosure Statement under 37 C.F.R. §1.97(b)(3) and §609(B1) of the MPEP with the United States Postal Service as "Express Mail" for mailing to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Dated this 3 day of October, 2002.

Michael Blackmore

10-07-02





Attorney Docket: XY-Super-Cont2 Express Mail No.: EV110549452US

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Colorado State University Research Foundation

LETTER OF TRANSMITTAL

The Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir:

Enclosed for filing is:

- 1.) a Supplemental Information Disclosure Statement under 37 C.F.R. §1.97(b)(3) and §609(B1) of the MPEP;
- 2.) a Supplemental Information Disclosure Citation similar to PTO Form 1449 with copies of the cited references;
- 3.) this Letter of Transmittal; and
- 4.) a Certificate of Express Mailing for each document.

Please confirm receipt of the documents by applying your date stamp on the enclosed postcard receipt and returning it to me.

I have this <u>3</u> day of October, 2002, either myself personally or through my direction of staff at this office, deposited all of the items in the above letter of transmittal with the United States Postal Service as Express Class Mail, postage prepaid, in an

envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

OCT O 3 2002 ST

Dated this <u>3</u> day of, October, 2002.

Respectfully Submitted,

SANTANGELO Law Offices, P.C.

Rvt

Craig R. Miles

ATTORNEY FOR APPLICANT

PTO No. 31,997

125 South Howes, Third Floor

Fort Collins, Colorado 80521

(303) 224-3100



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